

Rick J. Fraley, C.P.A.
2200 Natalie Lane--Lafayette, IN 47905
cell(765)404-3587—RickFraley.CPA@gmail.com

SUMMARY: 28 years successful experience in providing fiscal, strategic and operational leadership.

WORK EXPERIENCE:

❖ **Indiana Professional Management Group (IPMG)**

Director of Finance

May 2021 – Present

- Responsible for providing financial advice and support to help Senior Leadership and Board of Directors make key decisions.
- Responsible for the cash management, liquidity planning and control, procurement of finance, banking relationships, and procurement of goods and services.
- Responsible for the monthly Financial Statement Analysis. This includes trend analysis compared to current year, prior years, and budget.
- Responsible for the annual Budgeting process and monthly Budget variance analysis.
- Responsible for all accounting and finance for the Corporation. This includes managing the month end closing procedures related to preparing the financials. It also includes payroll, accounts receivable, and accounts payable.
- Administer Annual Financial Statement and Internal Control Audits.

❖ **CHARIOT AUTOMOTIVE GROUP**

CFO for Automotive Group

February 2020 – January 2021

- Responsible for the cash management, liquidity planning and control, procurement of finance, banking relationships, and procurement of goods and services for the Automotive Group. The Automotive Group had approximately \$200 million in total revenue, 300 employees, and 10+ companies (4 New Vehicle Stores, 1 Used Vehicle Store, 1 Leasing Company, 1 Parts Wholesale Company, 1 Dealer Owned Warranty Company, 1 Detail Company, 1 Management Company, 8 Real Estate Companies).
- Responsible for the monthly Financial Statement Analysis. This included trend analysis compared to current year, prior years, and variances compared to other dealerships in similar target groups.
- Responsible for the annual Budgeting process and monthly Budget variance analysis for each dept of the Automotive Group.
- Responsible for all accounting and finance for the Automotive Group. This included managing the month end closing procedures related to preparing the financials. It also included payroll, accounts receivable, accounts payable, and title processing.
- Managed accounting offices that consisted of sixteen direct/indirect reports. Of those five reported directly to me. Four of the direct reports were store Controller's that reported to me and one was our IT manager.
- Responsible for the monthly, quarterly, and annual tax returns for seven separate corporations.
- Administered audits related to Floor Planned Vehicles, Internal Revenue Service, Indiana Department of Revenue, Indiana Department of Financial Institutions, etc.

❖ **WESTMINSTER VILLAGE**

Director of Accounting and Finance

November 2017 – February 2020

- Responsible for the cash management, liquidity planning and control, procurement of finance, banking relationships, and procurement of goods and services for the

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Corporation. The Corporation has approximately \$22 million in total revenue and 420 employees.

- Responsible for the monthly Financial Statement Analysis. This includes trend analysis compared to current year, prior years, and variances compared to other Continuing Care Retirement Communities.
- Responsible for the annual Budgeting process and monthly Budget variance analysis for each dept of the Corporation.
- Responsible for all accounting and finance for the Corporation. This includes managing the month end closing procedures related to preparing the financials. It also includes payroll, accounts receivable, accounts payable, risk management, & IT administration.

❖ **INDUSTRIAL FEDERAL CREDIT UNION**

Internal Audit Manager

November 2016 – November 2017

- Prepare the Annual Audit Risk Assessment and Audit Plan.
- Perform monthly Internal Audits based on the Audit Plan and report results to the Supervisory Committee.
- Responsible for the administration of all external audits relating to the NCUA Examination, Supervisory Committee Guide Audit, BSA Audit, SAFE Act Audit, FACT Act Audit, ACH Audit, IT Risk Assessment Audit, IT Penetration Testing, and 401k Audit.
- Responsible to report findings and management recommendations of external and internal audits to the Supervisory Committee.

❖ **MIKE RAISOR AUTOMOTIVE GROUP**

CFO / Controller for Automotive Group

January 2002 – May 2016

- Responsible for the cash management, liquidity planning and control, procurement of finance, banking relationships, and procurement of goods and services for the Automotive Group. The Automotive Group had approximately \$120 million in total revenue and 250 employees in 2015.
- Responsible for the monthly Financial Statement Analysis. This included trend analysis compared to current year, prior years, and variances compared to other dealerships in similar target groups.
- Responsible for the annual Budgeting process and monthly Budget variance analysis for each dept of the Automotive Group.
- Responsible for all accounting and finance for the Automotive Group. This included managing the month end closing procedures related to preparing the financials. It also included payroll, accounts receivable, accounts payable, and title processing.
- Managed accounting offices that consisted of fifteen direct/indirect reports. Of those fifteen, five reported directly to me. Two of the direct reports were store Controller's that reported to me and three were clerical staff.
- Responsible for the monthly, quarterly, and annual tax returns for five separate corporations.
- Administered audits related to Floor Planned Vehicles, Internal Revenue Service, Indiana Department of Revenue, Indiana Department of Financial Institutions, etc.
- Responsible for the administration of local area networks for the company's primary computer systems. This included the primary UCS dealer system, Email Exchange

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Servers, File Server, and Autobase LAN. The total number of workstations was approximately 120 and the total servers was six. Over my time at the Autogroup, the IT department grew from one part-time employee to six employees. The IT manager reported directly to me.

- Responsible for the management of the IT Manager, Facilities Manager, and Customer Service Manager.

❖ **UNION PLANTERS BANK, N.A.**

Special Projects Manager for Mid-West Region

July 2001 – January 2002

- Responsible for the implementation of ideas in order to enhance revenue and reduce expenses. My responsibilities on the expense control side were related to the implementation of the staffing models across the Mid-West Region. My responsibilities on the revenue side were the monitoring and reporting of the implementation as it related to revenue growth.
- Member of a team that was responsible for the creation of a corporate wide Retail Staffing Model and Mortgage Staffing Model.
- Responsible for the monitoring of staffing models for the 20+ regions in the Mid-West.
- Responsible for the implementation of new incentive plans across the Mid-West Region.
- Reviewed and recommended approval / disapproval of capital expenditures.
- Assisted in the daily administrative functions of the Mid-West Banking Region.

Regional Controller / Financial Analyst

Oct. 1998 – June 2001

- Monitored, maintained, and reported the financial condition of the Indiana Regions.
- Responsible for preparing the Regional Budgets.
- Reviewed the monthly profitability reports and budgets.
- Analysis of expenses, income trends, ratios, and development of recommendations to improve the profitability of the Indiana regions. In addition, reviewed product and business line profitability in conjunction with corporate standards.
- Responsible for financial analysis regarding capital expenditures and other projections.
- Tracked incentives and other activities that affect the Indiana Banking Regions.
- Chaired the Asset and Liability pricing committees.
- Managed the various Bank properties in the North Central Indiana Region.
- Selected to a five-member team to create a Corporate-wide pricing model. After the model was created, I went around the Mid-West Banking Regions in order to train employees on how to price their products.

❖ **INDIANA STATE BOARD OF ACCOUNTS**

State Auditor

Aug. 1992 - Oct. 1998

- Conducted financial, operational, and compliance audits of Cities, Towns, Utilities, Hospitals, School Corporations, and Counties.
- Responsibilities included: audit planning, supervision of the audit, and direct communication with top management of the units.
- Reviewed audit results and conferred with management regarding financial and operational weaknesses.

❖ **ZURFACE, SWAN, AND RASOR, INC.**

Feb. 1991 - May 1991

Co-op in accounting / Certified Public Accountants, Indianapolis Indiana

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EDUCATION: **BACHELOR of SCIENCE in ACCOUNTING, CUM LAUDE May 1992**
 Marian College, Indianapolis, Indiana **Minor: Business Administration**
 Accounting GPA: 4.0/4.0 **Cumulative GPA: 3.58/4.0**

COMPUTER EXPERIENCE:

- ❖ Microsoft Excel, Microsoft Word, Microsoft Access, Hyperion Essbase, Sendero FTP, Monarch, Prevail, UCS dealer computer system, Adams dealer computer system, Dealertrack DMS.

EXTRACURRICULAR ACTIVITIES:

- ❖ 1996-1998 -- *Member of the Board of Directors of the State Board of Accounts Employees' Association*
- ❖ 1998-Present -- *Member of the Indiana CPA Society and AICPA*

SALARY REQUIREMENTS: Negotiable